

SECTION 5311 CAPITAL APPLICATION FOR AMERICAN RECOVERY AND REINVESTMENT

Capital funding is now available through the American Recovery and Reinvestment Act (ARRA) of 2009. **Under the ARRA, no Local Match is required.** The Federal share for approved Transit Capital Assistance is 100 percent.

If you are requesting a vehicle(s), current procurement procedures exist. You will still be required to submit (through email) a copy of the vehicle specifications to the 5311 program manager for approval and funding verification. Piggybacking process is permissible. However, you are required to submit a copy of the contract from the agency you will be piggybacking with, to ADOT for approval before placing orders. Your vendor should be able to provide you with that information. All other certification and assurance documentation is still required. Check your procurement handbook for the required forms checklist.

FEDERAL CERTIFICATIONS AND ASSURANCES:

The following forms must be included with your capital application:

- Assurance of Authority of the Applicant and its Representative
- General Assurances
- Certification for Civil Rights Complaints
- Certification of Restrictions on Lobbying
- Certification Regarding Debarment, Suspension, and other responsibility matters – primary covered transactions

The following documents must be submitted prior to reimbursement:

- Minutes from TAC meeting approving procurement
- Federal Transportation Administration Intergovernmental Procurement Review Checklist
- Piggybacking procurement checklist
- Certificate of Title – Must show ADOT as lien holder
- Certificate of Liability Insurance – Must show ADOT as Additional Insured
- Buy America Certification (from Mfg)
- Debarment Suspension Certification (from vendor)
- Lobbying Certification (from vendor and Mfg)
- Bus Testing Certification (from Mfg)
- Overall Federal Regulation Compliance (from vendor)

Kingman Area Regional Transit (KART)
Kingman, Arizona 86401

Applicants are requested to complete this budget on the basis of immediate and unduplicated needs. For example, applicants may not commingle AARA funds into a grant application that contains FTA funding authorized under SAFETEA – LU or any prior authorization.

Applicants are encouraged to identify projects or expenditures that meet the goals of the time limits contained in the ARRA. Inability to secure an approved and executed procurement within the statutory time limits will result in fund availability being withdrawn. FTA will reappportion these funds to areas that have successfully executed grants within the statutory time frames.

Projects eligible for capital funding include:

- New or used transit vehicles
- Rehabilitation of vehicles
- Accessibility conversions
- Communication equipment
- Computers
- Bus stop improvements, including signage, benches, or shelters
- Development of transit centers

If you intend to purchase a bus, it is important to identify whether it will be a light, medium or heavy duty bus and the approximate total vehicle length, passenger seating, and if the bus is a replacement or addition to the fleet. This will assist ADOT in its application for federal funds. Example: 35', 22 passengers, addition. If it will be a replacement vehicle, include the VIN number of the vehicle to be replaced.

A. NEW VEHICLE ACQUISITION

- 1. Describe the requested vehicle in some detail including: Type of Vehicle indicating number of passenger seats, seating arrangement, special accessibility devices, wheelchair securement system, drive train data, etc. Do not attach copy of vehicle specifications to the application.**

————ARBOC MOBILITY transit vehicle 26'-28' gasoline engine, three wheelchair securements, minimum 23 passenger, forward facing seating with farebox, bike rack and SEON security system.

- 2. Will this proposed vehicle be used as a replacement?
 What is the age and mileage of the replaced vehicle?
 Will this vehicle be retired from service?
 If vehicle is not being retired from service, what is your justification for a fleet increase?**

Provide VIN numbers for vehicles being replaced.

————The proposed transit vehicles will be replacement vehicles. Vehicle #1914 & 1915 are scheduled for replacement in 2010/2011 and #1916 & #1917 are scheduled for replacement in 2011/2012.

<u>VIN</u> <u>1FDXE45P</u> <u>36HA85709</u> <u>(City #1914)</u>	<u>Retired</u> <u>YES</u>	<u>2006</u>	<u>Ford</u>	<u>15</u>	<u>134,788</u> <u>12/29/09</u>
<u>VIN</u> <u>1FDX45PX</u> <u>36HA85710</u> <u>(City #1915)</u>	<u>Retired</u> <u>YES</u>	<u>2006</u>	<u>Ford</u>	<u>15</u>	<u>116,330</u> <u>12/29/09</u> <u>(Ejectors</u> <u>will</u> <u>need</u> <u>replace</u> <u>ment at</u> <u>a cost</u> <u>\$6,000</u> <u>minimum)</u>
<u>VIN</u> <u>1FDXE45P</u> <u>66DB37194</u> <u>(City #1916)</u>	<u>Retired</u> <u>YES</u> <u>after use</u> <u>as back-</u> <u>up</u>	<u>2007</u>	<u>Ford</u>	<u>15</u>	<u>93,583</u> <u>12/19/09</u>
<u>VIN</u> <u>1FDXE45P</u> <u>76DB41982</u> <u>(City #1917)</u>	<u>Retired</u> <u>YES</u> <u>after use</u> <u>as back-</u> <u>up</u>	<u>2007</u>	<u>Ford</u>	<u>15</u>	<u>83,722</u> <u>12/19/09</u>
<u>City #1903</u> <u>Utility/Trash</u> <u>Truck VIN</u> <u>1B7FLX7XS</u> <u>168086</u>	<u>Yes</u>	<u>1999</u>	<u>Dodge</u> <u>Dakota</u>	<u>N/A</u>	

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3. Will this vehicle be fully accessible to the disabled, in accordance with ADA guidelines? Attach statement that will assure ADOT that disabled patrons will receive a comparable level of service.

The transit vehicles will be fully accessible to the disabled in accordance with ADA guidelines. Statement of comparable level of service is attached.

B. VEHICLE REHABILITATION

Describe the vehicle to be rehabilitated. Include information on year, make and model, condition, driveline information, current mileage, seating capacity and what you plan to rehab. Is this vehicle accessible?

N/A

1. Describe the proposed tasks to be done as part of this rehabilitation under the appropriate category:

Drive Line:

Paint:

Suspension/Brakes:

Interior:

Accessibility:

Other:

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C. COMMUNICATION EQUIPMENT

1. **Describe the communication equipment requested. Is this a replacement of older units or additions?**

—N/A

2. **Explain why this equipment is essential for the operation of your service?**

—N/A

D. OTHER CAPITAL PROJECTS / TRANSIT FACILITIES / TOOLS

For other items, provide a brief description here. Applicants are requested to contact ADOT Multimodal Planning Division staff to find out the supplemental information that needs to be submitted for the particular request.

KART requires: conference table, chairs, presentation board, lobby furniture, file cabinets, lockers for employees, space saving lateral files for record retention, trash receptacles for bus stops locations, transit shelters, benches and installation, mobile surveillance upgrades, security system & server upgrades, lobby furniture, lunch room furniture, laptop for training, lighting and poles for new entrance area, work center copy/scan/fax machine, laptop computer, folding machine for newsletters and brochures, pole lighting for new PW/KART entrance area, new signs with newly designed marketing logo & installation, solar bus stop illumination and farebox replacements.

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<u>Requested Item</u>	<u>Quantity</u>	<u>Unit Cost</u>	<u>Subtotal</u>
	-	-	-
<u>26'-28' / 23 Passenger Bus</u>	<u>1</u>	<u>\$129,471</u>	<u>\$129,471</u>
<u>26'-28' / 23 Passenger Bus</u>	<u>1</u>	<u>\$129,471</u>	<u>\$129,471</u>
<u>26'-28' / 23 Passenger Bus</u>	<u>1</u>	<u>\$129,471</u>	<u>\$129,471</u>
<u>26'-28' / 23 Passenger Bus</u>	<u>1</u>	<u>\$129,471</u>	<u>\$129,471</u>
<u>Trash Receptacles</u>	<u>50</u>	<u>\$425</u>	<u>\$21,250</u>
<u>Transit Shelters/Benches</u>	<u>5</u>	<u>\$5,000</u>	<u>\$25,000</u>
<u>Custom Decals & Installation for Signs at Bus Stops</u>	<u>100</u>	<u>\$47.70</u>	<u>\$4,740</u>
<u>Utility Truck with Mobile Wash, Weed Sprayer and trash bed</u>	<u>1</u>	<u>\$52,500</u>	<u>\$52,500</u>
Office Furniture for conference room, lobby, folding equipment & employees	1	\$27,230	\$27,230
<u>FareBox Replacements</u>	<u>10</u>	<u>\$375</u>	<u>\$3,750</u>
<u>Work Centre Copier/Scan/FAX Machine</u>	<u>1</u>	<u>\$21,500</u>	<u>\$21,500</u>
<u>Mobile Surveillance Upgrades</u>	<u>3</u>	<u>3,200</u>	<u>\$9,600</u>
<u>Security System & Server Upgrades</u>	<u>1</u>	<u>\$65,000</u>	<u>\$65,000</u>
<u>Laptop</u>	<u>1</u>	<u>\$3,250</u>	<u>\$3,250</u>
<u>Lighting Poles for Transit Center</u>	<u>4</u>	<u>\$800</u>	<u>\$3,200</u>
<u>Solar Lighting for bus stops</u>	<u>100</u>	<u>895</u>	<u>\$89,400</u>
<u>TOTAL CAPITAL COST:</u>			<u>\$844,304</u>

January 15, 2010

Kingman Area Regional Transit (KART)
Kingman, Arizona 86401

**ASSURANCE OF AUTHORITY OF THE APPLICANT AND ITS
REPRESENTATIVE**

For (Name of Applicant): Kingman Area Regional Transit (KART)

The authorized representative of the Applicant and the attorney who sign these certifications, assurances, and agreements affirm that both the Applicant and its authorized representative have adequate authority under applicable state and local law and the Applicant's internal rules to:

- (1) Execute and file the application for Federal assistance on behalf of the Applicant;
- (2) Execute and file the required certifications, assurances, and agreements on behalf of the Applicant binding the Applicant; and
- (3) Execute grant agreements and cooperative agreements with FTA on behalf of the Applicant.

Signature _____

Date: _____

Name John Salem Mayor
Authorized Representative of Title

Applicant

I further affirm to the Applicant that, to the best of my knowledge, the certifications and assurances have been legally made and constitute legal and binding obligations on the Applicant and that there is no legislation or litigation pending or imminent that might adversely affect the validity of these certifications and assurances, or of the performance of the project.

Signature _____

Date: _____

Name Carl Cooper
Attorney for Applicant

Each Applicant for FTA financial assistance (except 49 U.S.C. 5312(b) assistance) and each FTA Grantee with an active capital or formula project must provide an Affirmation of Applicant's Attorney pertaining to the Applicant's legal capacity. The Applicant may enter its signature in lieu of the Attorney's signature, provided the Applicant has on file this Affirmation, signed by the attorney and dated this Federal fiscal year.

January 15, 2010

GENERAL ASSURANCES

To the best of my knowledge, having read the program guidelines (FTA Circular 9040.1B, November 1, 2008) and referenced assurances, and as an authorized representative, I certify that the APPLICANT has the legal authority and is willing to make as part of the contract between the State of Arizona and the APPLICANT for Rural Public Transportation financial assistance, the following assurances and warranties:

- A. The APPLICANT has or will have the necessary legal, financial, and managerial capability to apply for, receive, and disburse Federal funding; and to carry out the project described herein, including the safety and security aspects of that project
- B. The APPLICANT has or will have by the time of delivery, sufficient funds to operate the vehicles and/or equipment purchased under this project, as applicable.
- D. The APPLICANT will have satisfactory continuing control over the use of project equipment and facilities and assures that the project equipment and facilities will be adequately maintained.
- E. The APPLICANT assures affirmative compliance with Title VI of the Civil Rights Act of 1964, and pursuant to EO 13166 and DOT policy guidelines concerning recipient's responsibilities to Limited English Proficient (LEP) persons FRA C 5010.1D pg. 11.8, and related statutes.
- F. Private transit and paratransit operators have been afforded a fair and timely opportunity to participate to the maximum extent feasible in the provision of the proposed transportation services by the APPLICANT.
- G. The APPLICANT assures that it will provide a drug - free workplace.
- H. The APPLICANT assures affirmative compliance with 49 CFR Part 653 and Part 655, 49 CFR Part 40, and 49 CFR Part 29, or successor statutes, the U.S. DOT anti-drug programs.
- I. The needs of the elderly and disabled persons have been addressed by the APPLICANT, pursuant to the requirements of Section 504 of the Rehabilitation Act of 1973 (29 USC 794).
- J. The APPLICANT has demonstrated and will continue to demonstrate efforts to achieve coordination with other transportation providers and users, including social service agencies capable of purchasing service.

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- K. The APPLICANT has complied, as applicable, with the labor protection provisions of Section 13(c) of the Urban Mass Transportation Act of 1964, as amended.
- L. The APPLICANT assures that it will comply with applicable provisions of the Americans with Disabilities Act (ADA), otherwise known as Public Law No. 101-336 and applicable provisions of 49 CFR Parts 27, 37 and 38: Transportation for Individuals with Disabilities; Final Rule.
- M. The Applicant will comply with the applicable provisions of the guidelines relative to charter bus service (Title 49 CFR Part 604) and school bus operations (Title 49 CFR Part 605; Title 49 USC 5323(f)).
- N. The APPLICANT has worked to ensure the continuation of existing transportation revenues to complement Rural Public Transportation funds.
- O. The Applicant assures that it will comply with all applicable Federal statutes and regulations in carrying out any project supported by an FTA grant or cooperative agreement. The Applicant agrees that it is under a continuing obligation to comply with the terms and conditions of the grant agreement or cooperative agreement issued for its project with FTA. The Applicant recognizes that Federal laws and regulations may be modified from time to time and those modifications may affect project implementation. The Applicant understands that Presidential executive orders and Federal directives, including Federal policies and program guidance may be issued concerning matters affecting the Applicant or its project. The Applicant agrees that the most recent Federal laws, regulations, and directives will apply to the project, unless FTA issues a written determination otherwise.
- P. Executive Order No. 11246, "Equal Employment Opportunity," as amended by Executive Order no. 11375, "Amending Executive Order 11246 Relating to Equal Employment Opportunity," 42 U.S.C. § 2000 (e).
- Q. The provisions of Arizona Revised Statutes Section 41-4401 pertaining to E.Verify and Federal immigration laws and regulations relating to employees are applicable to this application.
- R. The provisions of Arizona Revised Statutes Section 35-397 certifying the applicant does not have scrutinized business operations in either Sudan or Iran are applicable to this application.

SIGNATURE: _____

DATE: _____

TITLE OF Mayor _____

January 15, 2010

Kingman Area Regional Transit (KART)
Kingman, Arizona 86401

AUTHORIZED
OFFICIAL: _____

CERTIFICATION FOR CIVIL RIGHTS COMPLAINT STATUS

 I hereby certify that our organization does NOT have any pending Title VI (Civil Rights) complaints of discrimination filed against its transit program.

 I hereby certify that our organization DOES have (number) pending Title VI (Civil Rights) complaints of discrimination filed against its transit program. This complaint(s), and its status, is briefly described below. The agency agrees it will keep the Arizona Department of Transportation's Transit Unit informed of any changes in the status of that complaint(s).

To comply with the Civil Rights Act of 1964, Title VI, the Americans with Disabilities Act of 1990, Title II, and the Vocational Rehabilitation Act of 1973, Section 504, we do not discriminate on the basis of disability, race, color, national origin, age, or gender.

Signature Title

Printed Name

Date

Kingman Area Regional Transit (KART)

Agency Name

Kingman Area Regional Transit (KART)
Kingman, Arizona 86401

Complete if receiving more than \$100,000 in FTA funds

**CERTIFICATION
OF
RESTRICTIONS ON LOBBYING**

I, John Salem, Mayor hereby certify to the
Arizona Department

(name and title of authorized official)

of Transportation, on behalf of the City of Kingman and the Kingman Area Regional
Transit (KART) that to the best
of my knowledge and belief:

(name of grantee)

1. No Federal appropriated funds have been or will be paid by or on behalf of the Applicant to any person to influence or attempt to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress regarding the award of Federal assistance, or the extension, continuation, renewal, amendment, or modification of any Federal assistance agreement; and
 - a. If any funds other than Federal appropriated funds have been or will be paid to any person to influence or attempt to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with any application for Federal assistance, the Applicant assures that it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," including information required by the instructions accompanying the form, which form may be amended to omit such information as authorized by 31 U.S.C. 1352.
 - b. The language of this certification shall be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, sub-agreements, contracts under grants, loans, and cooperative agreements).
2. The Applicant understands that this certification is a material representation of fact upon which reliance is placed by the Federal Government and that submission of this certification is a prerequisite for providing Federal assistance for a transaction covered by 31 U.S.C. 1352. The Applicant also understands that any person who fails to file a required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Executed this _____ day of _____,
2010.

By _____
(signature and title of authorized official)

January 15, 2010

Kingman Area Regional Transit (KART)
Kingman, Arizona 86401

Complete if receiving more than \$100,000 in FTA funds

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION,
AND OTHER RESPONSIBILITY MATTERS—PRIMARY
COVERED TRANSACTIONS**

The Grantee under this FTA project, Kingman Area Regional Transit
(KART) _____,
(name of grantee)

certifies to the best of its knowledge and belief, that it and its principals:

- (a) are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any Federal department or agency;
- (b) have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery falsification or destruction of records, making false statements, or receiving stolen property;
- (c) are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (b) of this certification; and have not within a three year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default;
- (d) have not within a three year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

(If the Grantee is unable to certify to any of the statements in this certification, such Grantee shall attach an explanation to this certification).

THE GRANTEE, Kingman Area Regional Transit
(KART) _____,
(name of grantee)

CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION AND UNDERSTANDS THAT THE PROVISIONS OF Title 49 CFR PART 29 and FTA C.2015.1 ARE APPLICABLE THERETO.

Executed this _____ day of _____, 2010.

By _____
(signature and title of authorized official)

January 15, 2010

Kingman Area Regional Transit (KART)
Kingman, Arizona 86401

Complete if receiving more than \$100,000 in FTA funds

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION,
INELIGIBILITY AND VOLUNTARY EXCLUSION - LOWER TIER
COVERED TRANSACTIONS**

The Grantee under this FTA project,

Kingman Area Regional Transit
(KART)

(name of grantee)

to the best of its knowledge and belief, that it and its prospective lower tier participants:

- (1) are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency;
- (2) if the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this certification.

THE GRANTEE, Kingman Area Regional Transit
(KART)

(name of grantee)

CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION AND UNDERSTANDS THAT THE PROVISIONS OF Title 49 CFR PART 29 and FTA C.2015.1 ARE APPLICABLE THERETO.

Executed this _____ day of _____, 201009.

By _____

(signature and title of authorized official)

January 15, 2010